

01/28/02



JC975 U.S. PTO

01-30-02

A

Please type a plus sign (+) inside this box → 

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 11302-0961 (44040-269284)

Inventors SHAH ET AL.

Title ION-SENSITIVE, WATER-DISPERSIBLE POLYMERS, A METHOD OF MAKING SAME AND ITEMS USING SAME

Express Mail Label No. EL910742041US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 117]
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 3 ]
5. Oath or Declaration [Total Pages 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS  
TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231JC975 U.S. PTO  
10/058632  
01/28/02**ACCOMPANYING APPLICATIONS PARTS**

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies
9.  Assignment:
  - a.  Assignment Papers (cover sheet & document(s))
  - b.  Assignment is of record in parent application No. 09/564,939
10.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: 09/564,939 / May 4, 2000

Prior application information: Examiner K.M. George

Group / Art Unit: 1616

Recite complete dependency back to first parent application:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

23370

PATENT TRADEMARK OFFICE

Name (Print/Type)	Robert E. Richards	Registration No. (Attorney/Agent)	29,105
Signature		Date	January 28, 2002

**FEE TRANSMITTAL**

Attorney Docket No. 113-961 (4404-269284)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **COLE ET AL.**Filing Date: **January 28, 2002**Title: **ION-SENSITIVE, WATER-DISPERSIBLE POLYMERS, A METHOD OF  
MAKING SAME AND ITEMS USING SAME**

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY	LARGE ENTITY
FEE	Fee Paid	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370	\$740
<input type="checkbox"/> DESIGN FILING FEE	\$165	\$330
<input type="checkbox"/> PLANT FILING FEE	\$255	\$510
<input type="checkbox"/> REISSUE FILING FEE	\$370	\$740
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80	\$160
	SUBTOTAL (1)	\$740

**2. CLAIMS:**

FOR:	NO. FILED	NO. EXTRA	RATE	SMALL ENTITY	LARGE ENTITY
TOTAL CLAIMS	14 - 20 =	20	x 9 =		x 18 =
INDEP. CLAIMS	3 - 3 =	0	x 42 =		x 84 =
	MULTIPLE DEPENDENT CLAIM PRESENTED		+140 =		+280 =
			SUBTOTAL (2)	\$	\$0

**3. ADDITIONAL FEES:**

FOR:	SMALL ENTITY	LARGE ENTITY
FEE	Fee Paid	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$130
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130	\$130
<input type="checkbox"/> OTHER		
	SUBTOTAL (3)	\$0

**TOTAL FILING FEES: \$740**A check is enclosed for the total amount: **\$740** Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

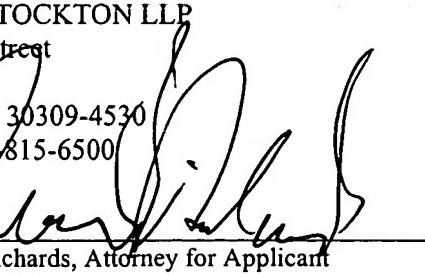
KILPATRICK STOCKTON LLP

1100 Peachtree Street

Suite 2800

Atlanta, Georgia 30309-4530

Telephone: 404-815-6500

By: Robert E. Richards, Attorney for Applicant  
Reg. No. 29,105Date: 1-28-02